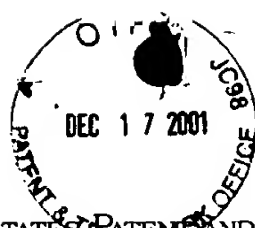


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23961-706  
Page 1 of 1

14



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/AGENT NUMBER
09/940,188	08/27/2001	Dongming Jiang	25961-706

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

CONFIRMATION NO. 3518  
FORMALITIES LETTER



\*OC000000006838619\*

Date Mailed: 10/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$8996.

12/26/2001	KIERHE	00000044	232415	09940180
01 FC:201		370.00	CH	
02 FC:205		55.00	CH	
03 FC:202		42.00	CH	

  - \$5526 for 307 total claims over 20.
  - \$3200 for 40 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 9836.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

WSGR PATENT DOCKET	
U.S.:	FOREIGN:
DOCKETED: 01/19/01	BY: LP
ACTION: US FILED - PETS	
DUE DATES: 1/18/01	
FILED: 1/18/01	
ATTY: SLO	CM: 25961-706

O I P E  
DEC 17 2001  
PATENT & TRADEMARK OFFICE

Practitioner's Docket No. 25961-706

12-20-01

#4 Receipt #  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dongming Jiang et al.  
Serial No.: 09/940,188  
Filed: August 27, 2001  
For: Method And Apparatus For Focused Crawling

Group No.: 2121.  
Examiner: Unassigned

U.S. Patent & Trademark Office  
P. O. Box 2327  
Arlington, VA 22202

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed October 3, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

SUPPLEMENTAL PRELIMINARY AMENDMENT

- IV. Applicant submits herewith a Supplemental Preliminary Amendment for entry.

SMALL ENTITY STATUS

- V. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$0
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$42.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40.00</u>
6. Total completion fees	\$517.00

EXTENSION OF TIME

- VI. Applicant notes there is a 1-month extension of time fee due. Fee Due: \$55.00

REQUEST FOR CORRECTED FILING RECEIPT

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Dongming Jiang, Plainsboro, NJ; Arvind Krishnamurthy, Hamden, CT; Jaswinder Pal Singh, New York, NY; Randolph Wang, Princeton, NJ
2. Priority Claim	- This Appln is a CIP of 09/935,782 08/22/2001 And is a CIP of 09/935,783 08/22/2001 And is a CIP of 09/933,885 08/20/2001 And is a CIP of 09/933,888 08/20/2001 And claims priority to 60/226,479 08/18/2000 And claims priority to 60/227,125 08/22/2000 And claims priority to 60/227,875 08/25/2000
3. Entity status	- Small


At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VIII. The total fee due is: Completion fees \$517.00 Extension of Time Fees \$55.00 Corrected Filing Receipt Fees \$25.00  
**TOTAL FEE DUE \$597.00**

**PAYMENT OF FEES**

- IX. Charge Account No. 23-2415 (25961-706) in the amount of \$597.00.  
Please charge Account No. 23-2415 (25961-706) for any fees that may be due by this paper.



Kenta Suzue, Reg. No. 45,145

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971


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**CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.8)**

- "Express Mail" Mailing Label No. EL792627685US.

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: **Box Missing Parts**, U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Date: December 17, 2001

  
Donna L. Hengst

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OCT 09 2001

WILSON SONSINI,  
GOODRICH & ROSATI

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/940,188	08/27/2001	2121	0.00	25961-706	5	318	43

CONFIRMATION NO. 3518

021971

WILSON SONSINI GOODRICH & ROSATI

650 PAGE MILL ROAD

PALO ALTO, CA 943041050

FILING RECEIPT



\*OC00000006838618\*

DOCKETED

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dongming Jiang, [Residence Not Provided] PLAINSBORO, NJ;  
Arvind Krishnamurthy, [Residence Not Provided] HAMDEN, CT;  
Jaswinder Pal Singh, [Residence Not Provided] NEW YORK, NY;  
Randolph Wang, [Residence Not Provided] PRINCETON, NJ--.

Domestic Priority data as claimed by applicant

~~Delete~~ THIS APPLN CLAIMS BENEFIT OF 60/226,479 08/18/2000  
AND CLAIMS BENEFIT OF 60/227,125 08/22/2000  
AND CLAIMS BENEFIT OF 60/227,875 08/25/2000

--THIS APPLN IS A CIP OF 09/935,782 08/22/2001  
Foreign Applications And is A CIP OF 09/935,783 08/22/2001  
And is A CIP OF 09/933,885 08/20/2001  
If Required, Foreign Filing License Granted 09/28/2001 And is A CIP OF 09/933,888 08/20/2001

Projected Publication Date: Request for Non-Publication Acknowledged And claims priority TO  
60/226,479 08/18/2000

Non-Publication Request: Yes

And claims priority TO  
60/227,125 08/22/2000

Early Publication Request: No

And claims priority TO  
60/227,875 08/25/2000

Title

Method and apparatus for focused crawling

Preliminary Class